

Compliance Manager

Reporting Line:	Head of Operational Risk
Role Level:	5
Location:	Chester, with hybrid working

About Us

We are Oxbury: The only UK bank dedicated to British agriculture. Founded by farmers, bankers, and technologists, we have combined the worlds of financial services, technology, and agriculture to provide bespoke financial products to support the rural economy.

Our mission is to create and grow a sustainable, customer-focused, and innovative bank that supports and champions the financial health of the rural economy.

About the Role

Work in alignment with the bank's purpose, values, vision, and strategy.

To work as part of the Second line of Defence Credit and Risk Team to continually improve and promote a strong risk and compliance culture within the business.

Ensuring the framework is risk-based and effective in giving the business and management insight on conduct and regulatory risks.

To act as an escalation point for compliance issues, liaising with the wider business areas as necessary.

Role Responsibilities

- Leading day to day management of the business' compliance plan. Quality Assurance Methodology and Framework – completing reviews, oversight, assessing controls, providing feedback and support where required.
- Support the design, delivery and continuous improvement of the annual Compliance Monitoring Plan.
- Provide regulatory advisory support in articulating regulatory matters and providing business support and advice (e.g. new products, reviews, regulatory change impacts).
- Manage, support and escalate regulatory breaches.
- Maintain and update the compliance risk registers and associated controls to ensure alignment with wider operational risk management and the first line operational areas.
- Provide technical and operational support to the first line Complaints team with FCA-regulated complaints.
- Provide Compliance Training to all new employees and refresher training to existing colleagues on compliance matters.
- Provide support with risk events e.g. incident management or breaches.
- Manage and oversee data protection requests, in particular; DSARs and deletion requests and supporting the DPO with any enquiries.
- Maintain oversight of the Conflicts on Interests register, ensuring that associated policies and procedures are applied consistently.

- Prepare and provide accurate, timely management information (MI) and reports to the required forums and committees, analysing trends, identifying emerging risks and determining the effectiveness of internal associated controls.
- Support the Horizon Scanning process, ensuring that regulatory changes are recorded, assessed and reported in a timely manner.
- Support with the annual review of associated Policies and Procedures aligned to Compliance matters.
- Carry out other duties, which may or may not be related to the job, as reasonably requested by management e.g support with new initiatives or projects.

Skills / Experience

Required Skills / Experience

- Experience (ideally 3 years +) of working in a compliance role, preferably within the financial services industry.
- Practical experience and knowledge of Financial Conduct Authority (FCA) regulations including BCOBS, CONC, DISP, Consumer Duty and Conduct Risk.
- Has the ability to interpret and apply regulatory requirements to provide practical advice to the wider business.
- Horizon scanning.
- Experience in compliance advice and compliance monitoring background.
- An understanding of GDPR regulations.
- Flexible approach to work with a "can do" attitude and the ability to respond flexibly in a changing and fast paced environment.
- Strong analytical skills to design and provide MI, identify trends, root causes and to articulate the impacts.
- Excellent stakeholder management experience and strong communication skills to engage with the wider business and cross-functions.
- Proficient user of Word, Excel, PowerPoint, SharePoint and Outlook.

Desirable

- Ideally, you will be educated to degree level or equivalent qualification.
- Industry recognised compliance qualification.

Interested in joining Oxbury?

Interested candidates should submit their CV and a brief covering letter outlining their experience in agriculture, any previous work experience and why they believe they are suitable for the role. To apply please click [here](#).