

Compliance Officer

Reporting Line: Head of Operational Risk
Role Level: 5
Location: Chester, with hybrid working

About Us

We are Oxbury: The only UK bank dedicated to British agriculture. Founded by farmers, bankers, and technologists, we have combined the worlds of financial services, technology, and agriculture to provide bespoke financial products to support the rural economy.

Our mission is to create and grow a sustainable, customer-focused, and innovative bank that supports and champions the financial health of the rural economy.

About the Role

Works in alignment with the bank's purpose, values, vision, and strategy.

To work as part of the Credit and Risk Team to continually improve and promote a strong risk and compliance culture within the business.

Ensuring the framework is risk-based and effective in giving the business and management insight on conduct and regulatory risks.

Role Responsibilities

- Leading day to day management of the business' compliance plan and QA framework – completing reviews, providing feedback and completing actions.
- Work with the Compliance Manager to plan and deliver the Risk and Compliance Oversight Plan approved by Board Risk Committee.
- Manage and escalate regulatory breaches.
- Manage the Compliance inbox.
- Provide technical and operational support to the first line Complaints team with FCA-regulated complaints.
- Deliver Compliance Training to all new employees and refresher training and support as required.
- Provide support with risk events – incident management or breaches.
- Manage data protection requests, in particular; DSARs and deletion requests, and supporting the DPO with any enquiries.

- Actively participate in the analysis of key/ material regulatory developments, identifying impacts to the business and providing technical analysis and solutions.
- Supporting the business with financial crime and customer due diligence queries.
- Assisting with completion of the annual financial crime risk assessment and closure of any actions arising.
- Support the production of presentations and papers for Board and executive committees by gathering the vital MI, data and supplementary content.
- Carry out other duties, which may or may not be related to the job, as reasonably requested by management.
- Support and drive forwards the effective use of AI across the business.

Minimum Skills / Experience

- Required Skills / Experience
 - 2+ years' experience in compliance, preferably in the financial sector.
 - Knowledge of Financial Conduct Authority (FCA) regulations including BCOBS, CONC and DISP.
 - Horizon scanning.
 - Experience in a Quality Assurance background.
 - An understanding of GDPR regulations.
 - Flexible approach to work with a "can do" attitude and the ability to respond flexibly in a changing environment.
 - Meticulous attention to detail.
 - Great people and communications skills and able to build relationships.
 - Proficient user of Word, Excel, PowerPoint, SharePoint and Outlook.
 - A self-motivator able to work constructively under pressure
- Desirable Skills / Experience
 - You'll be educated to degree level or equivalent qualification
 - Industry recognised qualification

Interested in joining Oxbury?

Interested candidates should submit their CV and a brief covering letter outlining their experience in agriculture, any previous work experience and why they believe they are suitable for the role. To apply please click [here](#).